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### Common Reporting Standard ("CRS") & Foreign Account Tax Compliance Act ("FATCA") Self-Certification Form (Sole

### Proprietorship – Individual Owners)

Instructions

1. "CRS" means the Common Reporting Standard.

Under the Income Tax (Automatic Exchange of Financial Account Information) Rules 2016 ("the Rules"), United Overseas Bank (Malaysia) Bhd ("UOBM") is obliged to collect information about each account holder's tax residency status. Where necessary, UOBM is required to submit the information to the Inland Revenue Board of Malaysia ("IRBM") to comply with the Rules.

2. "FATCA" means the Foreign Account Tax Compliance Act.

UOBM is obliged under the Malaysia – US Intergovernmental Agreement ("Malaysia-US IGA") to collect each account holder's US/Non-US Person status for FATCA purposes.

- 3. UOBM may seek additional information from you after the submission of this form to ensure compliance with the Rules and Malaysia-US IGA and any other relevant laws enacted to implement CRS and FATCA.
- 4. To assist you in completing this form, a glossary of terms is attached to this form. The glossary is not a substitute for understanding the requirements of the Rules and Malaysia-US IGA. The glossary and this document do not constitute tax advice.
- 5. <u>UOBM does not provide tax advice</u>. Please consult your tax, legal and/or other professional advisers if you have any questions on or in relation to CRS and FATCA, the Rules, the Malaysia- US IGA and the information requested in this Self-Certification Form.
- 6. Please complete this form to reflect the details of the Sole Proprietorship and the details of the owner of the Sole Proprietorship. The owner of the Sole Proprietorship must sign and date this form.
- 7. This form must be signed and dated, please complete all sections in a legible manner.
- 8. The following render this form defective:
  - a. Alterations to the pre-printed content.
  - b. Use of correction fluid or correction tape on this form.
  - c. Failure to state address in full, namely failing to specify the relevant City, Country and Postcode for your address.

Se	ction 1: Identification of Sol	e Proprietorship an	d Sole Proprietor			
Α	Name of the Sole					
	Proprietorship					
В	Business Registration No.					
C D	Full Name of Sole Proprietor (Individual Owner of Sole Proprietorship) (as per NRIC/ Passport) Sole Proprietor (Individual Owner of Sole Proprietorship) NRIC/ Passport Number*					
E	Date of Birth of Sole Proprietor (Individual Owner of Sole Proprietorship)					
F	Country of Birth of Sole Proprietor (Individual Owner of Sole Proprietorship)					
G	Current Residence Address of Sole Proprietor (Individual Owner of Sole Proprietorship)	Postcode	Town / City:	State:	Country :	
H	Mailing Address of Sole Proprietor (Individual Owner of Sole Proprietorship) (complete only if different from Section G)	Postcode	Town / City:	State:	Country :	

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#### Section 2: Country/ Jurisdiction of Residence for Tax Purposes and Related Taxpayer Identification Number or Equivalent Number ("TIN")

PLEASE FILL IN ONLY NON-US TAX RESIDENCY INFORMATION IN SECTION 2. IF YOU ARE OR WERE A US PERSON, PLEASE ALSO FILL UP SECTION 3.

Whether a person is tax resident in a particular country depends on whether that person meets the definition of a tax resident under the tax laws of that country. If you are a Malaysian tax resident, your TIN is your Income Tax Number.

For more information on tax residence, please consult your tax advisor or refer to the information at OECD automatic exchange of information portal. Please complete the following table indicating:-

(i) all countries and/or jurisdictions (including Malaysia) where you are a tax resident; and

(ii) your TIN for each country/jurisdiction indicated.

NOTE:

If you are a tax resident in more than four (4) countries/jurisdictions, please use a separate sheet. If a TIN is unavailable, please provide the appropriate reason A, B or C:

#### Reason A

The country/jurisdiction where you are a resident for tax purposes does not issue TINs to its residents.

#### Reason B

You are otherwise unable to obtain a TIN or equivalent number (Please provide a written explanation as to why you are unable to obtain a TIN if you have selected this reason).

#### Reason C

No TIN is required. (Note: Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed).

*Country/Jurisdiction of tax residence	TIN		If no TIN available enter Reason A, B or C				
(i)							
(ii)							
(iii)							
(11)							
(iv)							
If Reason B is selected above, explain why the Entity is unable to obtain a TIN in the corresponding row below							
*Country/Jurisdiction of tax residence	Explanation						
(i)							
(;;)							
(ii)							
(iii)							
(iv)							
I further confirm that I am not a "US Person".							
Note: Please proceed to Section 4 if you are	not a US Person.						
Section 3: US Persons & Former US Persons							
I confirm that I am a "US Person".							
My Taxpayer Identification number (TIN) / Social Security Number (SSN) is:							
TIN / SSN (9 digits)							
I was a US Person but I confirm I am no longer a "US Person". A copy of Certificate of Loss of US Nationality is enclosed.							
Section 4: Authorisations and Undertakings							
	form is subject to the term	is and conditions gov	verning the Accountholder's relationship with United Overseas				
Bank (Malaysia) Bhd ("UOBM").							
2. I confirm that all information in this form is accurate, complete and true.							
I undertake to notify UOBM and to provide UOBM with a suitably updated Self-Certification form within 30 days of any change in circumstances which affects the tax residency status of the Accountholder named in Section 1 above or causes the information contained in this form to become incorrect.							
I agree to provide UOBM with such documents as UOBM may require from time to time in its sole discretion for the purpose of UOBM satisfying itself that the							
information contained in this form is valid.							
Without prejudice to any other rights or remedies UOBM may otherwise have, I shall indemnify, keep indemnified and hold UOBM harmless against any and all							
liabilities, actions, claims, losses, damages, costs and expenses (including but not limited to legal costs on a full indemnity basis) suffered or incurred by UOBM as							
a result of, or in connection the information contained in this form being inaccurate, outdated or untrue.							

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Note:						
If you are not the Accountholder, please indicate the capacity in which you are signing the form.						
An authorised representative or officer must state the capacity in which he/she is signing on behalf of the Accountholder. If this form is completed by an agent						
acting under a duly authorised Power of Attorney, the form must be accompanied by the Power of Attorney in proper form specifically authorising the agent to represent the Accountholder in making, executing and presenting the form.						
represent the Accountriolder in making, executing and presenting the form.						
Cirpature						
Signature						
Name:						
Capacity (if applicable) :						
Date : D _ M _ M _ Y _ Y _ Y _ Y						
Glossary						
Definition of "US Person"						
A US Citizen or resident individual.						
<ul> <li>b. A partnership or corporation organised in the US or under the laws of the</li> </ul>	he US or any state of the US.					
	le law to render orders or judgements concerning substantially all issues regarding					
administration of trust, and (ii) one or more US Persons have the author	rity to control all substantial decisions of the trust, or an estate of a decedent that					
is a citizen or resident of the US.						
	nue Code. Please note that persons who have lost their US citizenship and who live					
<ul> <li>outside the US may nonetheless in some circumstances be treated as US Per</li> <li>If you hold multiple citizenships and one of them is US, you are a US Person.</li> </ul>	sons.					
<ul> <li>If you have a US Green Card, you are a US Person.</li> </ul>						
<ul> <li>If you were born in the US or a US Territory (Puerto Rico, Guam, US Virgin Isla</li> </ul>	ands or Northorn Mariana Islands) you are a LIS Porson					
<ul> <li>If you meet the substantial presence test**, you are a US Person.</li> </ul>	ands of Northern Mariana Islands), you are a 05 reison.					
• If you meet the substantial presence test <sup>20</sup> , you are a OS Person.						
**To meet this test, you must be physically present in the United States at least:						
1. 31 days during the current year, and						
2. 183 days during the 3-year period that includes the current year and the 2 years immediately before that, counting:						
All the days you were present in the current year, and						
• 1/3 of the days you were present in the first year before the current year						
<ul> <li>1/6 of the days you were present in the second year before the current</li> </ul>	year.					
For Bank Use Only						
For Branches/ Business Unit Use Only						
Validation documents enclosed:-						
Certificate of Loss of US Nationality						
Passport/ Identity Card collected and reviewed Others:						
Identification Status : 🗌 Complete 🔲 Pending						
Attended/Processed By*	Signature Verified by (For thumbprint-Witnessed by):					
Lan ID:	Lan ID:					
Staff ID:	Staff ID:					
Signature/Name Date	Signature/Name Date					
For OC Use Only						
Processed By	Approved By					
Signature and Name Date	Signature and Name Date					
5 <u>5</u>						

\*Please delete where not applicable

