



Common Reporting Standard ("CRS") & Foreign Account Tax Compliance Act ("FATCA") Self-Certification Form (Sole Proprietorship – Individual Owners)

Instructions

- "CRS" means the Common Reporting Standard.
 Under the Income Tax (Automatic Exchange of Financial Account Information) Rules 2016 ("the Rules"), United Overseas Bank (Malaysia) Bhd ("UOBM") is obliged to collect information about each account holder's tax residency status. Where necessary, UOBM is required to submit the information to the Inland Revenue Board of Malaysia ("IRBM") to comply with the Rules.
- "FATCA" means the Foreign Account Tax Compliance Act.
 UOBM is obliged under the Malaysia US Intergovernmental Agreement ("Malaysia-US IGA") to collect each account holder's US/Non-US Person status for FATCA purposes.
- 3. UOBM may seek additional information from you after the submission of this form to ensure compliance with the Rules and Malaysia-US IGA and any other relevant laws enacted to implement CRS and FATCA.
- 4. To assist you in completing this form, a glossary of terms is attached to this form. The glossary is not a substitute for understanding the requirements of the Rules and Malaysia-US IGA. The glossary and this document do not constitute tax advice.
- 5. <u>UOBM does not provide tax advice</u>. Please consult your tax, legal and/or other professional advisers if you have any questions on or in relation to CRS and FATCA, the Rules, the Malaysia- US IGA and the information requested in this Self-Certification Form.
- 6. Please complete this form to reflect the details of the Sole Proprietorship and the details of the owner of the Sole Proprietorship. The owner of the Sole Proprietorship must sign and date this form.
- 7. This form must be signed and dated, please complete all sections in a legible manner.
- 8. The following render this form defective:
 - a. Alterations to the pre-printed content.
 - b. Use of correction fluid or correction tape on this form.
 - c. Failure to state address in full, namely failing to specify the relevant City, Country and Postcode for your address.

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Se	ection 1: Identification of So	le Proprietorship	and Sole Proprietor			
Α	Name of the Sole					
	Proprietorship					
В	Business Registration No.					
С	Full Name of Sole					
	Proprietor (Individual					
	Owner of Sole					
	Proprietorship)					
	(as per NRIC/ Passport)					
D						
	Owner of Sole					
	Proprietorship) NRIC/					
	Passport Number*					
Е						
-	Proprietor (Individual					
	Owner of Sole					
	Proprietorship)	D D M	M Y Y Y			
F						
•	Proprietor					
	(Individual Owner of Sole					
	Proprietorship)					
G						
"	of Sole Proprietor					
	(Individual Owner of Sole					
	Proprietorship)					
	Trophictorship)					
		Postcode	Town / City:	State:	Country:	
Н	Mailing Address of Sole				1 1 1 1 1 1 1	
	Proprietor (Individual					
	Owner of Sole					
	Proprietorship) (complete					
	only if different from					
	Section G)					
	·	Postcode	Town / City:	State:	Country:	
	i	. Jacobac	romit, city.	Juic.	country.	





Section 2: Country/ Jurisdiction of Residence for Tax Purposes and Related Taxpayer Identification Number or Equivalent Number ("TIN")

PLEASE FILL IN ONLY NON-US TAX RESIDENCY INFORMATION IN SECTION 2.

IF YOU ARE OR WERE A US PERSON, PLEASE ALSO FILL UP SECTION 3.

Whether a person is tax resident in a particular country depends on whether that person meets the definition of a tax resident under the tax laws of that country. If you are a Malaysian tax resident, your TIN is your Income Tax Number.

For more information on tax residence, please consult your tax advisor or refer to the information at OECD automatic exchange of information portal. Please complete the following table indicating:-

(i) all countries and/or jurisdictions (including Malaysia) where you are a tax resident; and

(ii) your TIN for each country/jurisdiction indicated.

NOTE:

If you are a tax resident in more than four (4) countries/jurisdictions, please use a separate sheet.

If a TIN is unavailable, please provide the appropriate reason A, B or C:

Reason A

The country/jurisdiction where you are a resident for tax purposes does not issue TINs to its residents.

Reason E

You are otherwise unable to obtain a TIN or equivalent number (Please provide a written explanation as to why you are unable to obtain a TIN if you have selected this reason).

Reason C

No TIN is required. (Note: Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed).

*Country/Jurisdiction of tax residence	TIN	If no TIN available enter Reason A, B or C				
(i)						
(;;)						
(ii)						
(iii)						
(:.A						
(iv)						
If Reason B is selected above, explain why the Entity is unable to obtain a TIN in the corresponding row below						
*Country/Jurisdiction of tax residence	*Country/Jurisdiction of tax residence Explanation					
(i)						
(ii)						
(iii)						
(,						
(iv)						
Life with any confirms that I am not a "I IS Device"						
☐ I further confirm that I am not a "US Person". Note: Please proceed to Section 4 if you are not a US Person.						
Section 3: US Persons & Former US Persons						
I confirm that I am a "US Person". My Taxpayer Identification number (TIN) / Social Security Number (SSN) is:						
TIN / SSN (9 digits) TIN Country: US						
I was a US Person but I confirm I am no longer a "US Person". A copy of Certificate of Loss of US Nationality is enclosed.						

Section 4: Authorisations and Undertakings

- 1. I understand that the information provided in this form is subject to the terms and conditions governing the Accountholder's relationship with United Overseas Bank (Malaysia) Bhd ("UOBM").
- 2. I confirm that all information in this form is accurate, complete and true.
- 3. I undertake to notify UOBM and to provide UOBM with a suitably updated Self-Certification form within 30 days of any change in circumstances which affects the tax residency status of the Accountholder named in Section 1 above or causes the information contained in this form to become incorrect.
- 4. I agree to provide UOBM with such documents as UOBM may require from time to time in its sole discretion for the purpose of UOBM satisfying itself that the information contained in this form is valid.
- 5. Without prejudice to any other rights or remedies UOBM may otherwise have, I shall indemnify, keep indemnified and hold UOBM harmless against any and all liabilities, actions, claims, losses, damages, costs and expenses (including but not limited to legal costs on a full indemnity basis) suffered or incurred by UOBM as a result of, or in connection the information contained in this form being inaccurate, outdated or untrue.





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Note:				
If you are not the Accountholder, please indicate the capacity in which you are signing the form.				
An authorised representative or officer must state the capacity in which he/she is signing on behalf of the Accountholder. If this form is co				
acting under a duly authorised Power of Attorney, the form must be accompanied by the Power of Attorney in proper form specifically aut	horising the agent to			
represent the Accountholder in making, executing and presenting the form.				
Signature				
Signature				
Name:				
Capacity (if applicable):				
Date:				
D D M M Y Y Y Y				
Glossary				
Definition of "US Person"				
a. A US Citizen or resident individual.				
b. A partnership or corporation organised in the US or under the laws of the US or any state of the US.				
c. A trust if (i) a court within the US would have authority under applicable law to render orders or judgements concerning substantial				
administration of trust, and (ii) one or more US Persons have the authority to control all substantial decisions of the trust, or an esta is a citizen or resident of the US.	te of a decedent that			
This definition shall be interpreted in accordance with the US Internal Revenue Code. Please note that persons who have lost their US cit	tizenship and who live			
outside the US may nonetheless in some circumstances be treated as US Persons.	izenship and who live			
If you hold multiple citizenships and one of them is US, you are a US Person.				
If you have a US Green Card, you are a US Person.				
If you were born in the US or a US Territory (Puerto Rico, Guam, US Virgin Islands or Northern Mariana Islands), you are a US Person.				
If you meet the substantial presence test**, you are a US Person.				
**To meet this test, you must be physically present in the United States at least:				
31 days during the current year, and				
2. 183 days during the 3-year period that includes the current year and the 2 years immediately before that, counting:				
All the days you were present in the current year, and				
1/3 of the days you were present in the first year before the current year, and				
1/6 of the days you were present in the second year before the current year.				
For Bank Use Only				
For Branches/ Business Unit Use Only				
Validation documents enclosed:-				
Certificate of Loss of US Nationality				
Passport/ Identity Card collected and reviewed Others:				

For Bank Use Only			
For Branches/ Business Unit Use Only			
Validation documents enclosed:- Certificate of Loss of US Nationality			
Passport/ Identity Card collected and reviewed Others:			
Identification Status : Complete Pending			
Attended/Processed By*	Signature Verified by (For thumbprint-Witnessed by):		
Lan ID:	Lan ID:		
Staff ID:	Staff ID:		
Signature/Name Date	Signature/Name Date		
For OC Use Only			
Processed By	Approved By		
Signature and Name Date	Circulation and Name		
Signature and Name Date	Signature and Name Date		

*Please delete where not applicable

